

FINANCIAL PLANNING AND ANALYSIS

#	Initiative Name	End Date	Status	Current Status	FY16 Q1 Status Update (Jul - Sep)
1	PI Portfolio Projections (Sexton)	6/2016		In Progress	This initiative is designed to provide Principle Investigators and Research Admins with up-to-date financial information about sponsored and non-sponsored funds, including a projections input tool that is used to calculate projected ending fund balances. The team has collected requirements in RA Focus groups sessions and has met with key stakeholders. The scope statement has been finalized and key roles on the project have been filled. October will include kick-off meeting and development will begin in November.
2	TAS Reform (Interim) (Bain-Chekal, Cernok)	12/1/15		In Progress	The TAS Reform Project is designed to improve the TAS allocation model & process. The team has completed designing, developing and testing the new model and is now reviewing it with key leadership on campus. The team is on track to launch the new model in this quarter.
3	Financial Reform: High-Level Financial Model Structure (Heller, Davidson)	6/2018		In Progress	Under the leadership of the EVCP Steele and Vice Chancellor for Administration John Wilton, and in collaboration with existing committees and academic leaders, the Campus Budget Office has been working to unravel Berkeley's "spaghetti finance" and to underpin Berkeley's academic preeminence with a more sustainable financial model. Focused on transparency, incentives and simplification, the goals of this Initiative are threefold: (i) to strengthen units' ability to influence revenue growth, (ii) to better allocate funding in line with institutional priorities and (iii) to encourage horizontal collaboration. In the last quarter the project team diligently worked on the analysis and documentation of the current UCB distribution mechanism. Initial analysis and design activities to establish the new future state financial model structure have started and are well underway. Design is expected to complete by the end of the fiscal year.
4	Quarterly Management Review w/ pilot units (Bain-Chekal)	4/2016		Not Started	Work delayed due to capacity constraints. QMR's are considered a best practice when integrating finance, management and performance. In order to successfully implement QMR, several pre-requisites have to be investigated and completed. Due to resource constraints, the team was not able to begin working on this initiative. Work is expected to begin in Q3. First steps include the exploration of initial concepts, workflow of an actualized forecast and investigating interdependency of an actualized forecast with HCP design and position mgmt and control.
5	Unit Deep Dives (Bain-Chekal)	4/2016		In Progress	Worked delayed due to resource capacity constraints. This initiative involves working with units on financial process review and improvement efforts. Due to restricted resource capacity to support this effort and other conflicting high-priority initiatives, this this initiative has been de-prioritized and will be re-examined at a future date.
6	CalPlanning Upgrade (Zirman)	6/2017		In Progress	Depending upon HCP Redesign and what further gains can be achieved with an upgrade of CalPlanning to version 11.1.4.500, the software may be upgraded after the FY17 Budget planning cycle.
7	CalPlanning/ HCP enhancements	6/2016		In Progress	The CBO and CalPlanning Teams have been working diligently on exploring various enhancements to the budgeting system in an effort to simplify the compensation planning process and improve the user experience. Due to technical complexity some of the planned enhancements will not make it into this planning cycle but will be incorporated next year. The team is continuing discovery and research on enhancements that will be integrated this year.
8	Decision package/automated budget consolidation process (Zirman, Bain-Chekal)	4/2016		Not started	Effort to provide CBO back end budget consolidation mechanism. Will be worked on beginning in Jan/Feb 2016.
9	Tricore Transition (Zirman)	8/2015		Complete	We have successfully transitioned CalPlanning servers to hosted services (TriCore).

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10	BIBS/PRT Retirement (Spurr)	6/2017		In Progress	Implementation planning for BIBS/PRT retirement is underway. The team has made great progress in defining the scope and related work components. We are now working with key campus partners to validate and confirm scope and timing for execution. The retirement of the PRT will commence once alternative solutions for UCOP requirements are developed. We are awaiting a viability assessment of the available alternatives. Once received, we will begin communicating a retirement strategy and timeline for users
11	Cal Answers Enhancements (Zirman)	6/2016		In Progress	Cal Answers has some exciting enhancements in the works, including the development of PI Portfolio (see above), Time to Degree report release, and some preliminary discussions around timing to bring Financial and HR data to Cal Answers.
12	Integrate Recharge Ops within FP&A (Remick)	6/2016		In Progress	This initiative is designed to integrate recharge rate certification operations within the Office of Financial Planning & Analysis. The Ops team has already begun managing recharge rate proposals. To effectively manage this new responsibility, the team will be conducting recruitment to fill staffing needs next.
13	Fundraising related reporting (Zirman)	6/2016		In Progress	BAIRS reporting enhancements have been proposed and level of effort scoped with the goal of better integrating financial and foundation data. Timing and funding of this initiative have not been determined yet.
14	Enrollment Model Implementation (Machamer)	12/2015		In Progress	The new enrollment management model will help us to better predict enrollments as well as how to plan for expected growth. The team has successfully completed the planning and analysis phase and is now in the process of developing and testing the new model. Model and data visualization tool - a tool which allows end users to test scenarios and see the effect on the size of the overall class, freshmen/transfer split, net payer percentage, etc - will be presented to key stakeholders in January to solicit feedback. Final Model and data viz expected to complete in mid February with the launch to occur in March. This is marked yellow due to the delay in the launch from December to March.
15	Phase 1 - Institutional Research Agenda (Machamer)	6/2016		In Progress	The project team has nearly completed the project planning phase and has begun implementation. The next quarter will be focused on the development of the draft research agenda, draft prioritization criteria and stakeholder outreach.
16	Program Review Reporting Improvements (Machamer)	6/2016		In Progress	The goal of this project is to build program review dashboards into Cal Answers. This will eliminate the production of the 40-page Word document Data Summa currently produced by OPA. OPA creates roughly 8-10 data summaries per year. With the program review dashboards we will produce data for all programs annually. This project leverages our current investment in Cal Answers and will introduce a new class of end users into the platform. This will free up analyst time to work directly with programs on research questions relevant to them. In the next quarter will secure funding for the project, approval from PROC, and identify EDW and OPA staff to assign to the project.
17	Campus Financial Model Enhancements (Quennarouch)	6/2016		In Progress	The campus financial model is currently being updated. The new tool will feature enhanced statements, reports and dashboards. When completed, model 2.0 will provide leadership with a simplified way to holistically and reliably assess the university's financial health.
18	Cal Answers Data Clean up (change to initiatives) and Adhoc Training (Zirman)	6/2017		in progress (minimally)	Ongoing learning opportunities continue to onboard staff in understanding complex data structures as well as in addressing minor data issues as reported. Resource constraints to support and train Ad Hoc users unavailable, so efforts concentrated on expanding dashboard usage rather than complex ad hoc environment.
19	Finance Competency Framework Development (Bain-Chekal)	6/2016			The Finance Competency Framework has been drafted and presented to the DFL forum.