

Controller's Office

#	Initiative Name	Initiative End Date	Status Traffic Light	Current Status	FY16 Q3 OGSP Progress Update
Unplanned Initiatives					
1	BFS Security Incident Outage and Recovery	2/29/2016		Complete	All Environments have been restored and are operational. There is limited access to the 8.8 environments due to enhanced security around these. Requests for data residing in the 8.8 environments should be routed to the glsys@berkeley.edu email address.
2	Partner with departments to establish a cash collection or billing process for new revenue streams	6/30/2016		In Progress	Several departments have initiatives underway to implement or replace a sales recording system. Each initiative is a separate project involving assessment of technology (integration, compatibility, security, etc.) and business process (efficiency, controls, etc.). The Accounts Receivable and Cash-Handling unit provides guidance and support to assist the department in achieving its goal.
FY16 OGSP Initiatives					
1	Partner with CSS to drive admin cost savings and end to end BPI (Regalia)	6/30/2017			No update needed
2	Implement Uniform Guidance Requirements, establish business processes & monitor transactions (Kubis)	6/30/2017		In Progress	The Federal Office of Management and Budget has issued Uniform Guidance (UG) on administrative requirements, cost principles and audit requirements for federal awards. Communication went out to the campus regarding the implementation of UG. Currently, an assessment is underway to identify the proper monitoring tools to address high-risk compliance areas.
3	Incorporation of revenue generating non-Student AR into BFS (Sturm)	6/30/2017		In Progress	This project identifies campus departments that are issuing 'invoices' and how best to convert their receivables activity to the BFS:AR system. The goal is to improve processing efficiency and provide better tracking and reporting. More information is available at http://controller.berkeley.edu/departments/accounts-receivable/billing-non-student-receivables .
4	Increase international collections on Student related A/R (Sturm)	6/30/2017		Completed	Through a system-wide RFP (Request for Proposal), a collection agency with the physical and legal presence to contact debtors and negotiate payment arrangements abroad was identified. With the introduction of this new collection resource, effort can now be made to recover debts owed to the campus by individuals and organizations outside the US.
5	Contracts and Grants AR policy and collection process implementation (Kubis)	6/30/2017		In Progress	This project establishes and implements a new Contracts & Grants A/R policy and collection practices. The achievements during FY16 - Q1, Q2, and Q3 include, but not limited to, reduction of outstanding A/R balance from \$144M to \$70M, resolutions of disputed A/R balances, and drafting the policy. The project is on target to design and implement an AR policy that includes proper AR management processes.
6	P2P AP Director (Parnas)	#####		In Progress	To increase the number of invoices received electronically, the SciQuest (Bear Buy) accounts payable interface module was upgraded to a more robust AP Director in F"Y16-Q1. AP partnered with SCM to leverage the AP Director technology to use a third-party (Transcepta) interface for electronic invoice submission. In Q3 the focus was on making the Transcepta process more efficient an on using the technology to provide suppliers with a self-service inquiry portal.
7	PUM (PeopleSoft Update Manager) upgrade implementation and create plan for BFS9.2 enhancements (Okamura)	6/30/2016		In Progress	PUM upgrade was delayed due to security incident. New go live date for upgrade is end of August 2016. Project is on track for go live at end of August.
8	T&E (Travel & Entertainment) policy and practices improvements (Sturm)	6/30/2016		In Progress	This joint initiative between the Office of the CFO and CSS is intended to improve the expense reporting and reimbursement process for campus travelers. An inter-departmental group of CAO's worked on policy clarification, process design, metrics and communications. In Q3, the project team expanded web content (http://travel.berkeley.edu) to clarify frequently asked questions related to policy and continued system design to enable employee-travelers to enter expense reports directly into the system.
9	Phase 3 Contracts & Grants enhancements (Kubis/Okamura)	6/30/2017		In Progress	33% of enhancements have been completed and are currently in production. An additional 30% should migrate to production by the end of April with the remainder migrating to production in May.
10	PI Portfolio Implementations (Kubis)	6/30/2016		In Progress	PI Portfolio is a Cal Answers tool that provides a comprehensive picture of fund balances, expenses, and budgets for faculty-managed funds and sponsored awards. The PI Portfolio dashboard has been updated to include enhancements that will allow you to view detailed and current actual and projected balances and to more easily monitor your funds for projected deficits. Oversight DashBoard tool will be available to supervisors and managers by the end of this quarter.
11	Support SIS Implementation (Sturm)	#####		In Progress	Student Financials is a major module currently being implemented under the new Student Information System (SIS) project. It will support new technology and business processes for managing student receivables. Higher One's CashNet solution will provide online billing and payment acceptance. The system is live with the ability to accept online payments and cashiering for admission deposits and with the interface to the general ledger. In development is online billing, tuition calculation/assessment, the collection process, third-party billing and refund processing. For project status, see http://sis-project.berkeley.edu .
12	Participate in UCOP Payment Efficiency Project (Parnas)	6/1/2016		Completed	The UCOP-led Payment Efficiency Project is an effort to evaluate opportunities at each campus on ways to improve procurement-to-accounts payable activities.
13	Reorganizations to balance budget (Regalia)	9/30/2015		Completed	No update needed
14	Provide guidance and support for various campus departments optimization initiatives (Regalia)	Ongoing			No update needed
15	Participate in CFO financial and institutional research community (Regalia)	Ongoing			No update needed

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16	Participate in UCPath Project (Vanderfin, Parkinson)	6/30/2017	Green	Not started	Pending action items from UCOP
17	Risk and Control alignment to support external audits (Vanderfin/Kubis)	6/30/2017	Green	In Progress	Assessment and documentation of internal controls associated with three revenue cycles (Student Financial Aid, Contracts and Grants and Gifts has been done. The assessment and documentation for one more revenue cycle (Sales and Services/Other) will be done in Q4.
18	Testing Automation Solution (Okamura)	6/30/2017	Red	De-Prioritized	
19	Implement Financial Control Assessment & Monitoring System (Vanderfin/Kubis)	6/30/2017	Green	In Progress	Control processes, reports and acknowledgement forms have been reviewed with campus representatives. Automation for acknowledgement process is being defined.