

Controller's Office

#	Initiative Name	Initiative End Date	Status Traffic Light	Current Status	FY16 Q2 OGSP Progress Update
Unplanned Initiatives					
1	BFS Security Incident Outage and Recovery	2/29/2016		In Progress	
2	Partner with departments to establish a cash collection or billing process for new revenue streams	6/30/2016		In Progress	The Controller's Office partners with campus departments to implement cash-handling, billing/collection, and revenue recording processes in support of new or expanding revenue generation activities.
FY16 OGSP Initiatives					
1	Partner with CSS to drive admin cost savings and end to end BPI (Regalia)	6/30/2017		In Progress	No update needed
2	Implement Uniform Guidance Requirements, establish business processes & monitor transactions (Kubis)	6/30/2017		In Progress	The Federal Office of Management and Budget has issued Uniform Guidance (UG) on administrative requirements, cost principles and audit requirements for federal awards. Communication went out to the campus regarding the implementation of UG. Currently, an assessment is underway to identify the proper monitoring tools to address high-risk compliance areas.
3	Incorporation of revenue generating non-Student AR into BFS (Sturm)	6/30/2017		In Progress	This project identifies campus departments that are issuing 'invoices' and how best to convert their receivables activity to the BFS:AR system. The goal is to improve processing efficiency and provide better tracking and reporting. More information is available at http://controller.berkeley.edu/departments/accounts-receivable/billing-non-student-receivables .
4	Increase international collections on Student related A/R (Sturm)	6/30/2017		Completed	As international student enrollment increases, so does the need for international collections. Through a system-wide RFP (Request for Proposal), this project identified a collection agency with the physical and legal presence to contact and negotiate payment arrangements abroad. The first group of accounts have been assigned.
5	Contracts and Grants AR policy and collection process implementation (Kubis)	6/30/2017		In Progress	This project establishes and implements a new Contracts & Grants A/R policy and collection practices. The achievements during FY16 - Q1 & Q2 include, but not limited to, reduction of outstanding A/R balance from \$144M to \$97M, resolutions of disputed A/R balances, and drafting the policy. The project is on target to design and implement an AR policy that includes proper AR management processes.
6	P2P AP Director (Parnas)	12/31/2015		In Progress	To increase the number of invoices received electronically, the SciQuest (Bear Buy) accounts payable interface module was upgraded to a more robust AP Director in FY16-Q1. AP partnered with SCM to leverage the AP Director technology to use a third-party (Transcepta) interface for invoice submission. In Q2 the focus was on using the technology to provide vendors with a self-service inquiry portal.
7	PUM (PeopleSoft Update Manager) upgrade implementation and create plan for BFS9.2 enhancements (Okamura)	6/30/2016		In Progress *Delay due to BFS Outage	Since going live with version 9.2 in March 2014, Oracle has released many new enhancements and fixes to existing issues. The PUM (PeopleSoft Update Manager) Upgrade project allows us to remove existing customizations and implement some of this new functionality. During the initial phase, we will determine which customizations may be eliminated and which fixes are successful, define a timeline for implementation, and begin work on any development efforts needed. Due to the BFS outage, our PUM environment is still down. Once we have the environment back up and running, we will be able to update our current plan.

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8	T&E (Travel & Entertainment) policy and practices improvements (Okamura/Sturm)	6/30/2016		In Progress	This joint initiative between the Office of the CFO and CSS is intended to improve the expense report and reimbursement process for campus travelers. An inter-departmental group of CAO's worked on policy clarification, process design, metrics and communications. In August, the team launched a revamped website (http://travel.berkeley.edu) and is currently focusing on enhancements to the reimbursement system.
9	Phase 3 Contracts & Grants enhancements (Kubis/Okamura)	6/30/2017		In Progress *Delay due to BFS Outage	This initiative is designed to improve the quality of data entered into the C&G system and streamline business processes. The major improvements to the payroll suspense clearing process, the PC ChartField mapping and budget process, the journal entry online edit process and the award setup process have been deemed in scope for this initiative. These improvements were set to be released in February 2016, however due to the BFS outage, the timeline and scope is being re-evaluated.
10	PI Portfolio Implementations (Kubis)	6/30/2016		In Progress	PI Portfolio provides faculty members and Principal Investigators with a quick, comprehensive picture of fund balances, expenses and budgets for faculty-managed funds and sponsored awards. This initiative will enable users to create and review financial projections for research projects. The analysis and design of the PI Portfolio Projection is already complete. Technical development and testing is underway. The implementation of the projection tools as well as the proper monthly review certification is scheduled to Go-Live by Spring of 2016.
11	Support SIS Implementation (Sturm)	12/31/2016		In Progress	Student Financials is a major module currently being implemented under the new Student Information System (SIS) project. It will support new technology and business processes for managing student receivables. Higher One's CashNet solution will provide online billing and payment acceptance. This quarter, the system went live with the ability to accept online payments for admission deposits. The interface to the general ledger was also achieved. Also in development is the collection process, third-party billing, and cashiering. For project status, see http://sis-project.berkeley.edu .
12	Participate in UCOP Payment Efficiency Project (Parnas)	6/1/2016		Completed	
13	Participate in UCPath Project (Vanderfin, Parkinson)	6/30/2017		Not started	Pending action items from UCOP
14	Risk and Control alignment to support external audits (Vanderfin/Kubis)	6/30/2017		In Progress	Assessment and documentation of internal controls associated with Student Financial Aid revenue cycle has been done. The assessment and documentation for two more cycles will be done in Q3 with a fourth cycle to be done in Q4.
15	Testing Automation Solution (Okamura)	6/30/2017		Not started *Delayed to next fiscal year necessitated by BFS Outage	With Oracle releasing new enhancements more frequently, Berkeley must find ways to become more efficient at testing. The Test Framework tools automates the execution of our testing. This initiative is not scheduled to start until after we become current with our PUM images next spring. Planning for this initiative begins in the summer of 2016.
16	Implement Financial Control Assessment & Monitoring System (Vanderfin/Kubis)	6/30/2017		In Progress	Updates to the existing department key financial report review procedures have been prepared for management and campus unit review and feedback.