

CONTROLLER'S OFFICE

#	Initiative Name	End Date	Status	Current Status	Q1 Status Update (Jul - Sep)
1	Implement Uniform Guidance Requirements, establish business processes and monitor transactions (Kubis)	6/30/2016		In Progress	The Federal Office of Management and Budget has issued Uniform Guidance (UG) on administrative requirements, cost principles and audit requirements for federal awards. Communication went out to the campus regarding the implementation of UG. Currently, an assessment is underway to identify the proper monitoring tools to address high-risk compliance areas.
2	Incorporation of revenue generating non-Student AR into BFS (Sturm)	6/30/2017		In Progress	This project identifies campus departments that are issuing 'invoices' and how best to convert their receivables activity to the BFS: AR system. The goal is to improve processing efficiency and provide better tracking and reporting. During FY16 - Q1, several departments were converted, and others are in transition. More information is available at http://controller.berkeley.edu/departments/accounts-receivable/billing-non-student-receivables
3	Increase international collections on Student related A/R (Sturm)	6/30/2017		In Progress	As international student enrollment increases, so does the need for international collections. Through a system-wide RFP (Request for Proposal), this project identified a collection agency with the physical and legal presence to contact and negotiate payment arrangements abroad. The assignment of the first group of accounts will occur by the end of this quarter.
4	Contracts and Grants AR policy and collection process implementation (Kubis)	6/30/2017		In Progress	This project establishes and implements a new Contracts & Grants A/R policy and collection practices. The achievements during FY16 - Q1 include, but not limited to, reduction of outstanding A/R balance from \$122M to \$63M, resolutions of disputed A/R balances, and drafting the policy. The project is on target to design and implement an AR policy that includes proper AR management processes.
5	P2P AP Director (Parnas)	12/31/2015		In Progress	To increase the number of invoices received electronically, the SciQuest (Bear Buy) accounts payable interface module was upgraded to a more robust AP Director in July. In FY16 - Q1, AP partnered with SCM to leverage the AP Director technology to use a third-party (Transcepta) interface. This quarter, we will identify and recruit a pilot population of suppliers to use this self-service portal. This pilot group will have the ability to check the status of their payments.
6	PUM (PeopleSoft Update Manager) upgrade implementation and create plan for BFS9.2 enhancements (Okamura)	6/30/2016		In Progress	Since going live with version 9.2 in March 2014, Oracle has released many new enhancements and fixes to existing issues. The PUM (PeopleSoft Update Manager) Upgrade project allows us to remove existing customizations and implement some of this new functionality. During the initial phase, we will determine which customizations may be eliminated and which fixes are successful, define a timeline for implementation, and begin work on any development efforts needed.
7	T&E (Travel & Entertainment) policy and practices improvements (Okamura/Sturm)	6/30/2016		In Progress	This joint initiative between the Office of the CFO and CSS is intended to improve the expense report and reimbursement process for campus travelers. An inter-departmental group of CAO's worked on policy clarification, process design, metrics and communications. In August, the team launched a revamped website (http://travel.berkeley.edu) and is currently focusing on enhancements to the reimbursement system.
8	Phase 3 Contracts & Grants enhancements (Kubis/Okamura)	6/30/2017		In Progress	This initiative is designed to improve the quality of data entered into the C&G system and streamline business processes. During this current phase, we are reevaluating the overall business process to identify opportunities to improve system efficiencies and where to implement additional system-enforced data validation points. The team will meet with stakeholders across the end-to-end C&G business process to gather requirements and finalize the scope and timeline for release.
9	PI Portfolio Implementations (Kubis)	6/30/2016		In Progress	PI Portfolio provides faculty members and Principal Investigators with a quick, comprehensive picture of fund balances, expenses and budgets for faculty-managed funds and sponsored awards. This initiative will enable users to create and review financial projections for research projects. The analysis and design of the PI Portfolio Projection is already complete. Technical development and testing is underway. The implementation of the projection tools as well as the proper monthly review certification is scheduled to Go-Live by Spring of 2016.
10	Support SIS Implementation (Sturm)	12/31/2016		In Progress	Student Financials is a major module currently being integrated into the new Student Information System (SIS) project. It will support new technology and business processes for managing student receivables. Higher One's CashNet solution will provide online billing and payment acceptance. This quarter, the SF team will focus on accepting payments for graduate admission deposits through CashNet and establishing interfaces to the general ledger system. Also in development is the collection process, third-party billing, and cashing. For project status see http://sis-project.berkeley.edu .

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11	Participate in UCOP Payment Efficiency Project (Parnas)	6/1/2016		In Progress	The UCOP-led Payment Efficiency Project is an effort to evaluate opportunities at each campus on ways to improve accounts payable activities. Berkeley staff provided information for analysis. Recommendations from the project team are forthcoming.
12	Participate in UCPath Project (Vanderfin, Parkinson)	6/30/2017		Not started	Pending action items from UCOP
13	Risk and Control alignment to support external audits (Vanderfin)	6/30/2017		Not started	This initiative assesses the campus' internal controls using the COSO framework. The project has defined documentation deliverables, functional cycles and high-level work plan for the four cycles. Work by Controller's Office staff is scheduled to begin in November 2015.
14	Testing Automation Solution (Okamura)	6/30/2016		Not started	With Oracle releasing new enhancements more frequently, Berkeley must find ways to become more efficient at testing. The Test Framework tools automates the execution of our testing. This initiative is not scheduled to start until after we become current with our PUM images next spring. Planning for this initiative begins in early 2016.
15	Implement Financial Control Assessment & Monitoring System (Vanderfin/Kubis)	6/30/2017		Not started	This initiative identifies and implements a system to record the performance of key internal controls and to monitor and report back to management. The UC Tracker and CCH TeamMate Compliance Monitoring are identified for consideration. The initiative is awaiting completion of at least some of the internal control assessments in the Risk and Control Assessment initiative.